## SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1034

# OMB APPROVAL

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	u).			FII		ction 30(h) of the					-34	<u></u>					
1. Name and Address of Reporting Person <sup>*</sup> Dean Alison						er Name <b>and</b> Tick BOT CORP			symbol		5. Relationship of Reporting Person(s) to I (Check all applicable)						
											Director	10% (					
	· · ·		(Middle)			of Corligat Trans	action (1)	outh /		X	<ul> <li>Officer (give title below)</li> </ul>	e Other below	(specify )				
(Last)	(First)	07/25	of Earliest Trans	action (M	iontn/L	Day/Year)		EVP, CFO & Treasurer									
C/O IROBOT	CORPOR	0//20	2010					, i i i i i i i i i i i i i i i i i i i									
8 CROSBY DRIVE											ļ						
(Q) (Q)					4. If An	nendment, Date c	of Origina	Filed	(Month/Day/	Year)		6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street) BEDFORD	МА		01730								X	X Form filed by One Reporting Person					
	IVIA								Form filed by M Person	ore than One Rep	orting						
(City)	(State)		(Zip)														
		Та	ble I - No	n-Deri	ative S	ecurities Ac	quired,	Dis	posed of,	or Ben	eficially	/ Owned					
1. Title of Security (Instr. 3) Date (Month/I				action Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year	3. Transa Code 8)		4. Securitie Disposed C 5)	s Acquirec )f (D) (Instr	l (A) or . 3, 4 and	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership				
							Code	v	Amount (A) or (D) P		Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)			
Common Stoc	k			07/2	5/2018		М		637	A	\$43.35	87,523	D				
Common Stock 07/25							М		812	A	\$35.43	88,335	D				
Common Stock 07/25							М		1,068	A	\$34.3	89,403	D				
Common Stoc	k			07/2	5/2018		М		1,075	A	\$32.38	90,478	D				
Common Stoc	k			07/2	5/2018		М		1,736	A	\$33.14	92,214	D				
Common Stock 07/25							М		1,494	A	\$37.62	93,708	D				
Common Stock 07/25							S <sup>(1)</sup>		16,778	D	\$85	76,930	D				
			Table II -			curities Acqu IIs, warrants						Owned					
1. Title of 2. 3. Transaction 3A. Deemed						5. Number	6. Date Ex			7. Title and		8. Price of 9. Numl		11. Natu			

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)		ansaction of ode (Instr. Derivative			6. Date Exerci Expiration Dat (Month/Day/Ye	e	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(S) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Option (Right to buy)	<b>\$</b> 43.35	07/25/2018		М			637	(2)	03/07/2021	Common Stock	637	\$0.00	0	D	
Employee Stock Option (Right to buy)	\$35.43	07/25/2018		М			812	(2)	06/06/2021	Common Stock	812	\$0.00	0	D	
Employee Stock Option (Right to buy)	\$34.3	07/25/2018		М			1,068	03/06/2015 <sup>(3)</sup>	03/06/2022	Common Stock	1,068	\$0.00	2,137	D	
Employee Stock Option (Right to buy)	\$32.38	07/25/2018		М			1,075	06/05/2015 <sup>(3)</sup>	06/05/2022	Common Stock	1,075	\$0.00	2,687	D	
Employee Stock Option (Right to buy)	\$33.14	07/25/2018		М			1,736	03/11/2016 <sup>(3)</sup>	03/11/2023	Common Stock	1,736	\$0.00	6,944	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Execution Date, Transaction of				6. Date Exerci Expiration Dat (Month/Day/Ye	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Option (Right to buy)	\$37.62	07/25/2018		М			1,494	06/10/2016 <sup>(3)</sup>	06/10/2023	Common Stock	1,494	\$0.00	6,721	D	

#### Explanation of Responses:

1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on March 14, 2018.

2. This option is currently exercisable.

3. This option vests over a four-year period, at a rate of twenty-five percent (25%) on the first anniversary of the date listed in the table, and quarterly thereafter.

**Remarks:** 

### <u>/s/ Glen D. Weinstein,</u> <u>Attorney-in-Fact</u>

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07/27/2018

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.